

Entity Form

U.S./NON-U.S. DECLARATION RELATING TO FOREIGN ACCOUNT TAX COMPLIANCE ACT

Account/Relationship Number(s): _____

Part I: Determining your status as a U.S. Person or Non-U.S. Person

Question 1 Was the Client organized or created under the laws of a country other than the United States?

Yes No

If you answered "yes" to Question 1, please go to Part II.

Question 2 Does the Client have a principal business address in the United States?

Yes No

If you answered yes to Question 4, please complete Appendix III.

Question 3 Is the Client a U.S. person for any other reason?

Yes No

If yes, please provide another explanation of your status as a U.S. person in the United States:

IF YOU HAVE ANSWERED "NO" TO ALL QUESTIONS IN PART I, THEN PLEASE GO TO PART III.

Otherwise, depending upon the answers you provided in this Part I, you may be a U.S. person for U.S. tax purposes. If you are uncertain whether you are a U.S. person, or believe you are not a U.S. person, please contact your Tax Advisor in order to discuss. **If you are a U.S. person, please complete Part II.**

Account/Relationship Number(s): _____

Part II: I am taxable in the United States of America as a U.S. Person and understand that my identity will be disclosed to the U.S. Internal Revenue Service (IRS).

Client hereby authorize [Republic Bank] ("RB") to disclose Client's information under the above account/relationship number(s) to RB's custodians, the U.S. Internal Revenue Service (IRS), the [Country Name] government, and/or any third-party which RB has contracted with in order to assist with the administration of RB's obligations imposed by FATCA.

A completed and signed Form W-9, Request for Taxpayer Identification Number and Certification, is enclosed with this Appendix I, Part II for this purpose.

Client hereby declares that in accordance with U.S. tax law Client is the beneficial owner of the assets and income to which this declaration relates. In the course of Client's contractual relationship with RB, Client undertakes to inform RB immediately of any changes relating to the above declaration.

Client has been informed of the consequences of this declaration, in particular that RB will disclose Client's identity to the IRS by means of the enclosed Form W-9, and hereby explicitly consent to this. Client authorizes RB to pass on such Form W-9 to the U.S. custodian or bank and Client authorizes RB, the bank or custodian to prepare annually the applicable Form 1099, and other information return(s) required under FACTA, to the IRS on the income Client has earned in connection with the account/relationship number(s). For this purpose Client releases RB from any and all [Country Name] banking confidentiality requirements which may prevent compliance with FATCA **and signs the attached waiver in Appendix II to this effect.**

Client acknowledges that the monies and/or securities held in Client's account, or in any custody account held by RB or its subsidiaries, agents or assigns, as trustee of any trust or director of any corporate entity for and on my behalf, the income on those monies and/or securities, or transactions relating thereto, are or may become subject to tax or other duties in the United States. Client confirms that Client will meet all taxes, duties and other financial obligations relating to Client's account/relationship number(s). Client hereby explicitly waives Client's right to any claim against RB for any losses and/or other costs resulting from or relating to any tax liability in the United States.

Name of Client

Name of authorized representative of account holder/Client

Signature of authorized representative of account holder/Client

Date

Account/Relationship Number(s): _____

Part III: Client is a "Non-U.S. Person".

Client hereby declares that Client is the beneficial owner of the assets and income to which this declaration relates. In the course of Client's contractual relationship with RB, Client undertakes to inform RB immediately on Client's own initiative of any changes relating to the above declaration, in particular if Client should become a U.S. person in accordance with the criteria listed in Part I above, and of all other relevant changes in this regard, (e.g. change of address, etc.).

Client acknowledges that the U.S.-related securities, if any, held by RB or any entity or relationship administered by RB in any account for or on Client's behalf, as well as the income on these accounts, securities or transactions relating thereto, are or may become subject to tax or other duties in the United States. Client confirms that Client will meet all taxes, duties and other financial obligations relating to Client's account/relationship number(s). Client hereby explicitly waives Client's right to any claim against RB for any losses and/or other costs resulting from or relating to any tax liability in the United States.

Name of Client

Name of authorized representative of account holder/Client

Signature of authorized representative of account holder/Client

Date

Appendix II

Waiver of [Country Name] Confidentiality and Data Protection Laws

Client

Name(s): _____

Relationships/Entities: _____

(hereinafter collectively referred to as "the Client")

The Client maintains certain bank account(s), custodial account(s), security account(s) and/or other banking relationship(s) (the "Relationship") with [Republic Bank] ("RB") of which the Client is a beneficiary, beneficial Owner and/or Settlor/Founder, Director, Foundation Council Member, Protector, Appointor or the holder of a Power of Attorney; and where RB may act as a Financial Institution, Fiduciary, Trust Administrator, Trustee, Protector, Company Manager and/or Administrator, Director, Officer, Registered Office and Agent, Foundation Council Member, Foundation Agent, Fund Administrator, Insurance Resident Representative, or in a similar capacity. The Client declares that he/she/it is a "United States Person" as defined under applicable U.S. Tax Laws, and that he/she/it has provided RB with a duly signed Form W-9, Request for Taxpayer Identification Number and Certification, or is reporting the Relationship with the RB inclusive of providing RB with evidence of the applicable filing.

As a result of various changes in the laws, regulations and rules of U.S. authorities and self regulatory organizations including applicable U.S. Tax information and reporting requirements, the Client herewith irrevocably instructs and authorizes RB (including its affiliates and agents) to disclose whether by means of physical delivery, telephone, fax, mail, email or any other means of communication, including but not limited to electronic data transmission, information about the Relationship and/or the Client to the United States Internal Revenue Service ("IRS"), any U.S. regulatory authority and self-regulatory organization that regulates any of RB's banker(s) or the bank(s) where the Relationship is maintained, whether or not the accounts associated with such Relationship hold cash, securities and other assets, any third party U.S. Custodian or other U.S. agent or affiliates of such bank(s) or custodian or where RB may have an obligation because of such laws, regulations or rules to disclose client information or information of the client. This information includes in particular but is not limited to, Client or Relationship details, such as name and address, transaction information and account balances (the "Data").

Further, the Client hereby expressly acknowledges and agrees to the following:

(i) Any Data disclosed in connection with this Waiver will be subject to the laws of the United States and will not be covered by [Country Name] law. The laws and regulations in the United States may not necessarily offer the same level of confidentiality, bank-client confidentiality or data protection as under [Country Name] law and may require the U.S. authorities/U.S. third parties to whom the Data is disclosed to disclose all or any part of the Data to other authorities or third parties; and

(ii) The provisions governing RB's [General Terms and Conditions] also apply to this Waiver.

Name of Client

Name of authorized representative of account holder/Client

Signature of authorized representative of account holder/Client

Date

Appendix III

LETTER OF EXPLANATION FOR NON-U.S. CLIENTS
WITH A U.S. RESIDENTIAL OR U.S. MAILING ADDRESS
OR U.S. PRINCIPAL BUSINESS ADDRESS

Client Name(s): _____

Name of Beneficial Owner(s), if other than Client (Please Print) _____

[Note: Where Client is an entity, (e.g., trust, foundation, corporation, partnership, etc.), each person connected to the entity for which RB (including its affiliates or agents) acts as a financial institution, fiduciary, trustee, director(s), officer(s), nominee shareholder or otherwise must be listed on the line above as well as sign and date the bottom of this form.]

The U.S. Internal Revenue Service requires non-U.S. clients with U.S. residential, U.S. mailing, OR U.S. principal business addresses to explain the reason for such U.S. address. Please use the space below to provide your explanation (please attach additional sheets, if necessary). **Please ensure your explanation is written in English.**

I understand that I must also submit additional documentation (e.g. copy of foreign passport) to further support my status as a non-U.S. client.

Signed

Date

Signed

Date

Signed

Date

IMPORTANT: This letter of explanation, along with a copy of documentary evidence of organization/creation under the laws of a non-U.S. country and official documentation issued by an authorized government body from your country of organization must be provided prior to validation of the Form W-8BEN. RB may also require in support of your explanation herein, one piece of additional documentation that does not include a U.S. address. Such additional documentation includes, but is not limited to, a utility bill or a financial statement from another firm.